



Receipt
#8

PATENT

ATTORNEY DOCKET NO. 06275/034001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Kjell Backstrom et al.
Serial No.: 08/601,005
Filed : March 1, 1996
Title : AEROSOL DRUG FORMULATIONS

Art Unit:
Examiner:

Assistant Commissioner for Patents
Washington, DC 20231

REQUEST FOR CORRECTION OF NOTIFICATION OF ACCEPTANCE
OF APPLICATION UNDER 35 USC 371 AND 37 CFR 1.494 OR 1.495;
REQUEST FOR CORRECTION OF FILING RECEIPT

Enclosed is a copy of the official Notification of Acceptance of Application and the filing receipt received for the above identified application. The Notification, mailed on December 10, 1997, indicates that the 102(e) date and the date of receipt of requirements is March 1, 1997, when in fact the date should be March 1, 1996. The filing receipt similarly recites a filing date of March 1, 1997, when in fact the date should be March 1, 1996.

Enclosed in support of the corrections are copies of the return postcard, stamped March 1, 1996 by the PTO; Form 1390 bearing a signed certificate under 37 CFR 1.10, including the Express Mail receipt number EM 325 915 277 US, and a date of deposit of March 1, 1996; and the Express Mail receipt number EM 325 915 277 US, dated March 1, 1996.

Date of Deposit

March 3, 1998

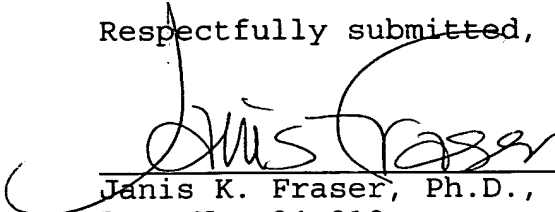
I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Cheryl A Woodbury
Cheryl A Woodbury

We request that a corrected notification and filing receipt be issued. Please charge any fees due in connection with this matter, or make any credits, to Deposit Account No. 06-1050.

Respectfully submitted,

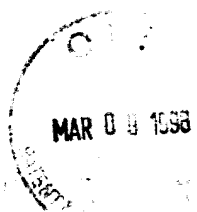
Date: March 2, 1998


Janis K. Fraser, Ph.D., J.D.
Reg. No. 34,819

Fish & Richardson P.C.
225 Franklin Street
Boston, MA 02110-2804

Telephone: 617/542-5070
Facsimile: 617/542-8906
252754.B11

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
08/601,005	03/01/97	1614	\$1,916.00	06275/034001	0	32	2

JANIS K. FRASER
FISH & RICHARDSON
225 FRANKLIN STREET
BOSTON MA 02110-2804

FEB 23 1998

FISH & RICHARDSON P.C.
BOSTON, MA

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

KJELL BACKSTROM, LUND, SWEDEN; MAGNUS DAHLBACK, LUND, SWEDEN; ANN JOHANSSON, LUND, SWEDEN; GORAN KALLSTRAND, BJARRER, SWEDEN; ELISABET LINDQVIST, LUND, SWEDEN.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A 371 OF PCT/SE95/01542 12/19/95

FOREIGN APPLICATIONS-

SWEDEN

9404469-0, 12/22/94

SWEDEN

9502452-7, 07/06/95

TITLE

AEROSOL DRUG FORMULATIONS

PRELIMINARY CLASS: 514

~~No Docketing required~~

Reviewed by Practice Systems
Initials: *MTW*

Reviewed By Billing Secretary
Initials: *MTW*

Docketed By Practice Systems

Action Code: *Incorrect Filing Receipt*

Base Date: *2/24/98*

Due Date: *3/24/98*

Deadline: *3/24/98*

Initials: *MTW*

Record: *144109*

Docketed By Billing Secretary

Due Date: *3/24/98*

Deadline: *3/24/98*

Initials: *MTW*

EM325 915 277 US

PATENT
ATTORNEY DOCKET NO.: 06275/034001

The Patent and Trademark Office date stamp sets forth the receipt date (or both the receipt date and the Serial Number) of a patent application identified as follows:

Applicant: Kjell Backstrom et al.

Title: AEROSOL DRUG FORMULATIONS

- ☒ [X] Transmittal Letter
☒ [X] Appln 8 Pages of Spec. 5 Pages of Claims 37 Total Claims 1 Pages of Abstract
☐ [] Rule 62 Filing Request (FWC) Pages
☒ [X] Assignment
☐ [] Deposit Account Order Form (2 copies)
☒ [X] Check \$ 40 & 1494
☐ [] Small Entity Statement
☐ [] Drawings Sheets Formal Sheets Informal
☒ [X] Combined Declaration and Power of Attorney X signed unsigned
☒ [X] Preliminary Amendment - 10 Pages
☒ [X] Information Disclosure Statement
☐ [] PTO 1449 Form - 1 Pages
☒ [X] Prior Art References - Number of References 3
☐ [] Priority Document - Pages
☐ [] English Translation
☐ [] Other

85 Rec'd PCT/PTC 01 MAR 1996

08/601005

Atty/Sec. Client/
Initials JKF/CAB Matter Name ASTRA/AEROSOL SURFACTANTS Date 3/1/96





POST OFFICE
TO ADDRESSEE



EM325915277US



* E M 3 2 5 9 1 5 2 7 7 U S *

ORIGIN (POSTAL USE ONLY)

INTERNATIONAL SHIPMENTS ONLY	PQZIP 02101	Day of Delivery <input checked="" type="checkbox"/> Next <input type="checkbox"/> Second <input type="checkbox"/> Flat Rate Envelope
<input type="checkbox"/> Business Papers	Date in Mo. 3 Day 7 Yr. 96	Postage \$ 15.00
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Customs forms and commercial invoice may be required. See Pub. 273 and International Mail Manual.	Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day <input type="checkbox"/>	G.O.D. <input type="checkbox"/>
Weight lbs. oz.	Int'l Alpha Country Code	Total Postage & Fees \$ 15.00
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	Customer Signature

FROM: (PLEASE PRINT) PHONE Janis K. Fraser, Ph.D., J.D. FISH & RICHARDSON 205 FRANKLIN ST FL 32 BOSTON MA 02110-2801	TO: (PLEASE PRINT) PHONE Commissioner of Patents & Trademarks Washington, DC 20231 BOX PCT
06275/034001	

FOR PICKUP OR TRACKING CALL 1-800-222-5831

06275/034001

U.S. APPLICATION NO (IF KNOWN)

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371INTERNATIONAL APPLICATION NO.
PCT/SE95/01542INTERNATIONAL FILING DATE
19 December 1995PRIORITY DATE CLAIMED
22 December 1994TITLE OF INVENTION
AEROSOL DRUG FORMULATIONSAPPLICANT(S) FOR DO/EO/US
KJELL BÄCKSTRÖM, MAGNUS DAHLBÄCK, ANN JOHANSSON, GÖRAN KÄLLSTRAND
AND ELISABET LINDQVIST

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

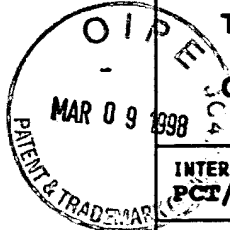
Items 11. to 16. below concern other documents or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

Express Mail mailing label number EM35915277Date of Deposit March 1, 1996

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231

Brian Dow
SEAN DOW





UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

08/601.005

U.S. APPLICATION NO. 08/601.005	FIRST NAMED APPLICANT BACKSTROM	ATTY. DOCKET NO. K 06275704001
JANIS K. FRASER FISH & RICHARDSON 225 FRANKLIN ST. BOSTON MA 02110-2804		INTERNATIONAL APPLICATION NO. PCT/SE95/01542
I.A. FILING DATE 12/19/95		PRIORITY DATE 12/22/94
DATE MAILED: 12/10/97		

MAR 09 1997 5611

NOTIFICATION OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C. 371
AND 37 CFR 1.494 OR 1.495

1. The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as ☐ a Designated Office (37 CFR 1.494), ☒ an Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

2. The United States Application Number assigned to the application is shown above and the relevant dates are:

01 MAR 1997
35 U.S.C. 102(e) DATE

01 MAR 1997
DATE OF RECEIPT OF
35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371(C) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

3. ☐ A request for immediate examination under 35 U.S.C. 371(f) was received on _____ and the application will be examined in turn.

4. The following items have been received:

- ☒ U.S. Basic National Fee.
- ☒ Copy of the international application in:
 - ☐ a non-English language.
 - ☒ English.
- ☒ Translation of the international application into English.
- ☒ Oath or Declaration of inventor(s) for DO/EO/US.
- ☒ Copy of Article 19 amendments. ☐ Translation of Article 19 amendments into English. The Article 19 amendments ☐ have ☐ have not been entered.
- ☒ The International Preliminary Examination Report in English and its Annexes, if any.
- ☒ Translation of Annexes to the International Preliminary Examination Report into English. The Annexes ☒ have ☐ have not been entered.
- ☒ Preliminary amendment(s) filed 01 MAR 1997 and _____
- ☒ Information Disclosure Statement(s) filed 01 MAR 1997 and 24 July 1997.
- ☒ Assignment document.
- ☐ Power of Attorney and/or Change of Address.
- ☐ Substitute specification filed _____
- ☐ Verified Statement Claiming Small Entity Status.
- ☐ Priority Document.
- ☒ Copy of the International Search Report ☐ and copies of the references cited therein.
- ☐ Other:

* No Docketing Required *
Reviewed By Practice Systems
Initials: MTL
Reviewed By Billing Secretary
Initials:

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

FORM PCT/DO/EO/903 (September 1996)

Anita Johnson
Telephone: (703) 305-3661 PCT International Division

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